OTC CLEARING REGISTRATION RULES of HUDEX Hungarian Derivative Energy Exchange Ltd. ANNEX I. OTC BROKERS LIST

Version 4.0

Effective from 28/03/202227/07/2023

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Approved by the resolution of the CEO No. 2614/20232 (VIII.256.)



1134 Budapest, Dévai utca 26-28. Telefon: (+36 1) 304 1090 Fax: (+36 1) 304 1099 Web: www.hudex.hu

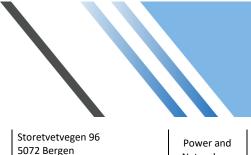


OTC BROKERS LIST

Name of OTC Brokers entitled to use the Broker Trading System, the automatically supported clearing solution for transactions	Address	Market Segments
1. 42 Financial Services a.s.	Klimentská 1216/46 110 00 Praha 1 Czech Republic	Power
2. Arraco Global Markets Ltd.	First Floor, Unit 2 Dolphin House, Riverside West, Smuggler's Way, Wandsworth London SW18 1DF United Kingdom	Power
3. ICAP Energy AS	Storetvetvegen 96 5072 Bergen Norway	Power
4. Tradition Financial Services Ltd.	1st Floor Beaufort House 15 St Botolph Street London EC3A 7QX United Kingdom	Power
5. Griffin Markets Limited	40 rue du Louvre Paris 75001 France	Power
6. GFI Securities Ltd	<u>1 Snowden Street</u> London, EC2A 2DQ United Kingdom	<u>Power</u>
Name of Brokers entitled to use the OTC Clearing Registration Platform, the manual solution for clearing transactions	Address	Market Segments
1. 42 Financial Services a.s.	Klimentská 1216/46 110 00 Praha 1 Czech Republic	Power and Natural gas
2. Arraco Global Markets Ltd.	First Floor, Unit 2 Dolphin House, Riverside West, Smuggler's Way, Wandsworth London SW18 1DF United Kingdom	Power and Natural gas



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3. ICAP Energy AS	5072 Bergen Norway	Power and Natural gas
4. Tradition Financial Services Ltd.	1st Floor Beaufort House 15 St Botolph Street London EC3A 7QX United Kingdom	Power and Natural gas
5. Griffin Markets Limited	40 rue du Louvre Paris 75001 France	Power and Natural gas
6. Enmacc GmbH	Ridlerstraße 57 80339 Munich Germany	Natural gas 🔸
7. GFI Securities Ltd	<u>1 Snowden Street</u> London, EC2A 2DQ <u>United Kingdom</u>	<u>Power and</u> Natural gas

Formázott táblázat





OTC CLEARING REGISTRATION RULES of HUDEX Hungarian Derivative Energy Exchange Ltd. ANNEX II. OTC-ONLY TRADER REGISTRATION FORM

Version 3.0

Effective from 20/11/2019

Date of publication: 14/11/2019

Approved by the resolution of the CEO No. 46/2019 (XI. 14.)







OTC-ONLY TRADER REGISTRATION FORM

Default set up of OTC- only Trader 1 – Obligatory information		
Last name		
First name		
Birth name		
Date and place of birth	[
Mothers maiden name		
Nationality		
Address		
National ID type and number ¹ according to 2017/590 Delegated Regulation (RTS22)		
Telephone	[
Mobile	[
E-mail		

Provide a copy of the following original document

A copy of the ID (ID or passport) of the trader and in case of foreign document an unofficial translation in English or Hungarian

Address card (lakcímkártya) only for Hungarian citizens²

¹ Please indicate the 1st priority

² Please indicate the 1st priority



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Default set up of OTC-only Trader 1 – Optional information		
To be filled only if the company would like to have different default setup for OTC- only Trader than for the position report of the company		
Hedge		
Speculative	į.	
To be filled only if the decision maker is different from OTC-only Trader 1		
Last name of the decision maker		
First name of the decision maker		
Date of birth of the decision maker		
Nationality of the decision maker		
National ID ³ of the decision maker according to 2017/590 Delegated Regulation (RTS22)		

³ Please indicate the 1st priority