

OTC CLEARING REGISTRATION RULES
of
HUDEX Hungarian Derivative Energy Exchange
Ltd.
ANNEX I.
OTC BROKERS LIST

Version 43.0

Effective from ~~20/11/2019~~ 28/03/2022

Date of publication: ~~14/11/2019~~ 25/03/2022

Approved by the resolution of the CEO No. ~~46/2019~~ 14/2022 (XI-14III.25.)

OTC BROKERS LIST

<u>Name of broker companies operating Broker Trading System/s</u>	<u>Address</u>
1. 42 Financial Services a.s.	Praha City Center Klimentská 46 110 02 Praha 1 Czech Republic
2. Arraco Global Markets Ltd.	Suite 203, The Light Bulb, Wandsworth, London, SW18 4GQ
3. CIMD	Príncipe de Vergara 131. 3^a Planta. 28002 Madrid
4. ICAP Energy Limited	2 Broadgate London EC2M 7UR
5. Tradition Financial Services Ltd.	1st Floor Beaufort House 15 St Botolph Street London EC3A 7QX
6. Tullett Prebon Ltd.	155 Bishopsgate London EC2M 3TQ

<u>Name of OTC Brokers entitled to use the Broker Trading System, the automatically supported clearing solution for transactions</u>	<u>Address</u>	<u>Market Segments</u>
<u>1. 42 Financial Services a.s.</u>	<u>Klimentská 1216/46 110 00 Praha 1 Czech Republic</u>	<u>Power</u>
<u>2. Arraco Global Markets Ltd.</u>	<u>First Floor, Unit 2 Dolphin House, Riverside West, Smuggler's Way, Wandsworth</u>	<u>Power</u>

	London SW18 1DF United Kingdom	
3. ICAP Energy AS	Storetvetvegen 96 5072 Bergen Norway	Power
4. Tradition Financial Services Ltd.	1st Floor Beaufort House 15 St Botolph Street London EC3A 7QX United Kingdom	Power
5. Griffin Markets Limited	40 rue du Louvre Paris 75001 France	Power
Name of Brokers entitled to use the OTC Clearing Registration Platform, the manual solution for clearing transactions	Address	Market Segments
1. 42 Financial Services a.s.	Klimentská 1216/46 110 00 Praha 1 Czech Republic	Power and Natural gas
2. Arraco Global Markets Ltd.	First Floor, Unit 2 Dolphin House, Riverside West, Smuggler's Way, Wandsworth London SW18 1DF United Kingdom	Power and Natural gas
3. ICAP Energy AS	Storetvetvegen 96 5072 Bergen Norway	Power and Natural gas
4. Tradition Financial Services Ltd.	1st Floor Beaufort House 15 St Botolph Street London EC3A 7QX United Kingdom	Power and Natural gas
5. Griffin Markets Limited	40 rue du Louvre Paris 75001 France	Power and Natural gas
6. Enmacc GmbH	Ridlerstraße 57 80339 Munich Germany	Natural gas



h u d e x
HUNGARIAN DERIVATIVE
ENERGY EXCHANGE

1134 Budapest, Dévai utca 26-28.
Telefon: (+36 1) 304 1090
Fax: (+36 1) 304 1099
Web: www.hudex.hu

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ANNEX II. OTC-ONLY TRADER REGISTRATION FORM

Version 3.0

Effective from 20/11/2019

Date of publication: 14/11/2019

Approved by the resolution of the CEO No. 46/2019 (XI. 14.)

OTC-ONLY TRADER REGISTRATION FORM

Default set up of OTC- only Trader 1 – Obligatory information	
Last name	
First name	
Birth name	
Date and place of birth	[]
Mothers maiden name	
Nationality	
Address	
National ID type and number¹ according to 2017/590 Delegated Regulation (RTS22)	
Telephone	[]
Mobile	[]
E-mail	[]

Provide a copy of the following original document
A copy of the ID (ID or passport) of the trader and in case of foreign document an unofficial translation in English or Hungarian
Address card (lakcímkártya) only for Hungarian citizens ²

¹ Please indicate the 1st priority

² Please indicate the 1st priority

Default set up of OTC-only Trader 1 – Optional information

To be filled only if the company would like to have different default setup for OTC- only Trader than for the position report of the company	
<i>Hedge</i>	
<i>Speculative</i>	
To be filled only if the decision maker is different from OTC-only Trader 1	
Last name of the decision maker	
First name of the decision maker	
Date of birth of the decision maker	
Nationality of the decision maker	
National ID ³ of the decision maker according to 2017/590 Delegated Regulation (RTS22)	

³ Please indicate the 1st priority
